

# licenselogix

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February 2, 2016

NH Public Utilities Commission  
Debra Howland, Executive Director  
21 South Fruit Street  
Suite 10  
Concord, NH 03301

NHPUC 21APR'16AM9:41

Re: **Empire Telecommunication and Energy Consultants, LLC**  
**Application for Electric Broker License**

To Whom It May Concern:

Enclosed please find an **Application for Electric Broker License** for our client, **Empire Telecommunication and Energy Consultants, LLC**. Once the application has been processed, please forward evidence of approval to the mailing address on the application.

If there is any issue, or if you require any further information, please do not hesitate to contact me or my colleague, Kelly Konkus, at [kkonkus@licenselogix.com](mailto:kkonkus@licenselogix.com) or (800) 292-0909 x313.

Thank you,

**Megan Rooney**  
LicenseLogix  
140 Grand Street, Suite 300  
White Plains, NY 10601  
[mrooney@licenselogix.com](mailto:mrooney@licenselogix.com)  
(800) 292-0909 ex. 335

**New Hampshire Public Utilities Commission  
Debra Howland, Executive Director  
21 South Fruit Street, Suite 10  
Concord, NH 03301-2429**

**APPLICATION FOR ELECTRIC BROKER LICENSE**

(1) The legal name of the applicant as well as any trade name(s) under which it intends to operate in this state;

Empire Telecommunication and Energy Consultants, LLC; Trade Name: Empire Energy

[hereinafter "Applicant"]

(2) The applicant's business address, telephone number, e-mail address and website address, as applicable;

22 Clubhouse Ct.  
Saratoga Springs, NY 12866-7339  
(518) 221-8139  
justin@EmpireTEC.net  
www.EmpireTEC.net

(3) The name(s), title(s), business address(es), telephone number(s), and e-mail address(es) of the applicant if an individual or of the applicant's principal(s), if the applicant is anything other than an individual;

Justin Baker, President  
22 Clubhouse Ct.  
Saratoga Springs, NY 12866-7339  
(518) 221-8139  
justin@EmpireTEC.net

(4) The telephone number of the customer service department or the name, title, telephone number and e-mail address of the customer service contact person of the applicant, including toll free telephone numbers if available;

Justin Baker, President  
22 Clubhouse Ct.  
Saratoga Springs, NY 12866-7339  
(518) 221-8139  
justin@EmpireTEC.net

(5) A copy of the applicant's authorization to do business in New Hampshire from the secretary of state, if anything other than an individual;

Please see EXHIBIT A.

(6) Description of the geographic areas of New Hampshire in which the applicant intends to provide service, consistent with Puc 2006.01(a)(10) above;

Applicant is currently applying for Electric & Natural Gas Broker licenses in Massachusetts, Maryland & Pennsylvania. Applicant intends to provide service throughout all of New Hampshire.

(7) A statement that the applicant is not representing any supplier interest or a listing of any supplier interest the applicant intends to represent; and

Applicant is not representing any supplier interest.

(8) Except as provided in 2003.04(e), payment of the required filing fee; and

A \$250 check is enclosed.

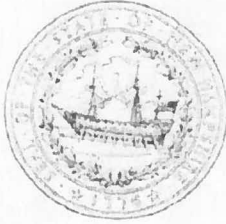
(9) The signature of the applicant or its representative.

Sign: \_\_\_\_\_

Date: \_\_\_\_\_

10/07/2015

# EXHIBIT A



# State of New Hampshire

## Department of State

Corporation Division  
107 North Main Street  
Concord, N.H. 03301-4989  
603-271-3244



Enclosed is your certificate of registered trade name. You should be sure to complete any other registrations required such as with the Department of Revenue Administration or with special licensing agencies such as the State Liquor Commission and Real Estate Commission.

Approximately six (6) months prior to the expiration date, we will mail to the address on record a form for you to renew your trade name registration. It is incumbent upon you to keep us informed of address changes to ensure the renewal form reaches you. There is no charge for address changes.

If you cease doing business under this trade name you are required by law to discontinue the use of its name by filing a Trade Name Discontinuance Form, TN-9. We also have forms to file should you wish to add a partner to your business (form TN-5) or withdraw a partner who is already one of the registrants (form TN-7). All of these forms may be downloaded from our web site at [www.sos.nh.gov/corporate/](http://www.sos.nh.gov/corporate/) or you may request them from this office.

Feel free to contact the Corporation Division if you need any further information.

Regards,

William M. Gardner  
Secretary of State

Business ID#: 737835

# State of New Hampshire

## Department of State

### CERTIFICATE OF REGISTERED TRADE NAME

OF

Empire Energy

This is to certify that Empire Telecommunication and Energy Consultants, LLC registered in this office as doing business under the Trade Name Empire Energy, at 22 Clubhouse Ct Saratoga Springs, NY 12866 on January 21, 2016.

The nature of business is Electric and Natural Gas Broker.

Expiration Date: January 21, 2021

Business ID#: 737835



IN TESTIMONY WHEREOF, I hereto  
set my hand and cause to be affixed  
the Seal of the State of New Hampshire,  
this 21st day of January, 2016 A.D.

A handwritten signature in cursive script, appearing to read "William M. Gardner".

William M. Gardner  
Secretary of State

# State of New Hampshire

Filing fee: \$50.00  
Use black print or type.

Form TN-1  
RSA 349

## APPLICATION FOR REGISTRATION OF TRADE NAME

(PLEASE TYPE OR PRINT CLEARLY)

1. BUSINESS NAME: Empire Energy  
(Name cannot include "INC." or other corporate designation)
2. BUSINESS ADDRESS: 22 Clubhouse Ct., Saratoga Springs, NY 12866  
No. & Street City / town State Zip
- MAILING ADDRESS (if different): 22 Clubhouse Ct., Saratoga Springs, NY 12866  
No. & Street City / town State Zip
3. BRIEF DESCRIPTION OF KIND OF BUSINESS TO BE CARRIED ON: Electric and Natural Gas Broker
4. DATE BUSINESS ORGANIZED: 02/22/2005  
(month / day / year)

5-A. ENTITY APPLICANT: IF THE APPLICANT IS A CORPORATION OR OTHER ENTITY, LIST CORPORATION'S OR ENTITY'S EXACT NAME AND INCLUDE TITLE OF PERSON SIGNING. If more space is needed for additional entity applicants, please attach additional sheet(s).

Empire Telecommunication and Energy Consultants, LLC  
ENTITY NAME (TYPE OR PRINT) NO. STREET

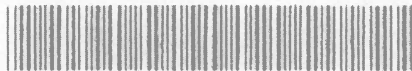
22 Clubhouse Ct.  
Saratoga Springs, NY 12866  
TOWN/CITY STATE ZIP

Justin Baker, President  
SIGNER'S NAME AND TITLE (TYPE OR PRINT)

5-B. INDIVIDUAL APPLICANTS: PLEASE TYPE OR PRINT APPLICANTS NAME(S), ADDRESS(ES) AND INCLUDE SIGNATURE. If more space is needed for additional individual applicants, please attach additional sheet(s).

1. TYPE OR PRINT NAME NO. STREET
- SIGNATURE TOWN/CITY STATE ZIP
2. TYPE OR PRINT NAME NO. STREET
- SIGNATURE TOWN/CITY STATE ZIP
3. TYPE OR PRINT NAME NO. STREET
- SIGNATURE TOWN/CITY STATE ZIP

State of New Hampshire  
Form TN-1 - Application for Registration of Trade Name 1 Page(s)



DISCLAIMER: All documents filed with the State of New Hampshire are made available for public inspection in either tangible or electronic form.

Mail fee and DATED AND SIGNED ORIGINAL to: Corporation Division, Department of State, 107 North Main Street, Concord, NH 03301-4989. Physical location: 25 Capitol Street, Concord, NH 03301.



# State of New Hampshire

## Department of State

### Corporation Division

603-271-3246



Enclosed is the acknowledgment copy of your Application for Registration as a Foreign Limited Liability Company. It acknowledges this office's receipt and filing of your documents.

Should you have any questions, you may contact the Corporation Division at the above number or email us at [corporate@sos.state.nh.us](mailto:corporate@sos.state.nh.us). Please reference your Business ID # located in the filed section of the enclosed acknowledgement copy of Application for Registration as a Foreign Limited Liability Company.

Please visit our website for helpful information regarding all your business needs.

Regards,

New Hampshire Department of State  
Corporation Division

Business ID#: 737834

Mailing address - 107 North Main Street, State House room 204, Concord, N.H. 03301-4989  
Physical Location - 25 Capitol Street, State House Annex - 3<sup>rd</sup> Floor, Concord NH  
Forms on Web - [www.nh.gov/sos/corporate](http://www.nh.gov/sos/corporate)



Filed  
Date Filed: 01/21/2016  
Business ID: 737834  
William M. Gardner  
Secretary of State

# State of New Hampshire

Filing fee: \$ 50.00  
Fee for Form SRA: \$ 50.00  
Total fees: \$100.00

Form FLLC-1  
RSA 304-C:175

Use black print or type.

## APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS of the New Hampshire Limited Liability Company laws, the undersigned hereby applies for registration to transact business in New Hampshire and for that purpose submits the following statement:

FIRST: The name of the limited liability company is \_\_\_\_\_  
Empire Telecommunication and Energy Consultants, LLC

SECOND: The name which it proposes to register and do business in New Hampshire is \_\_\_\_\_  
Empire Energy

THIRD: It is formed under the laws of \_\_\_\_\_ New York

FOURTH: The date of its formation is February 22, 2005

FIFTH: The nature of the business or purposes to be conducted or promoted in New Hampshire is \_\_\_\_\_  
Electric and natural gas broker.

SIXTH: The name of its registered agent in New Hampshire is \_\_\_\_\_  
Registered Agent Solutions, Inc.

and the street address, town/city (including zip code and post office box, if any) of its registered office is  
(agent's business address in New Hampshire) \_\_\_\_\_  
10 Ferry Street, Suite 313, Concord, NH 03301

SEVENTH: The sale or offer for sale of any ownership interests in this business will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B).


State of New Hampshire  
Form FLLC-1 - Application for Foreign Registration FLLC 4 Page(s)



T1602625018

APPLICATION FOR REGISTRATION AS A  
FOREIGN LIMITED LIABILITY COMPANY

Form FLLC-1  
(Cont.)

Signature:   
Print or type name: Justin Baker  
Title: President  
Date signed: 11-4-2015

Complete address of person signing: 22 Clubhouse Ct.  
Saratoga Springs, NY 12866

To receive your ANNUAL REPORT REMINDER NOTICE by email, please enter your email address here

justine@EmpireTEC.net

\* Shall be executed on behalf of the foreign limited liability company by a person with authority to do so under the laws of the state or other jurisdiction of its formation, or, if the foreign limited liability company is in the hands of a receiver, executor, or other court appointed fiduciary, trustee, or other fiduciary, it must be signed by that fiduciary.

DISCLAIMER: All documents filed with the Corporation Division become public records and will be available for public inspection in either tangible or electronic form.

Mail fees, DATED AND SIGNED ORIGINAL AND FORM SRA to: Corporation Division, Department of State, 107 North Main Street, Concord NH 03301-4989. Physical location: 25 Capitol Street, 3<sup>rd</sup> Floor, Concord, NH 03301.

State of New York  
Department of State } ss:

I hereby certify, that EMPIRE CAPITAL GROWTH & REAL PROPERTY, LLC a NEW YORK Limited Liability Company filed Articles of Organization pursuant to the Limited Liability Company Law on 02/22/2005, and that the Limited Liability Company is existing so far as shown by the records of the Department.

A Certificate of Amendment EMPIRE CAPITAL GROWTH & REAL PROPERTY, LLC, changing its name to EMPIRE TELECOMMUNICATION AND ENERGY CONSULTANTS, LLC, was filed 01/13/2012.

\*\*\*  
WITNESS my hand and the official seal  
of the Department of State at the City of  
Albany, this 11th day of September two  
thousand and fifteen

*Anthony Giardina*

Executive Deputy Secretary of State

Form SRA – Addendum to Business Organization and Registration Forms  
Statement of Compliance with New Hampshire Securities Laws

Part I – Business Identification and Contact Information

Business Name: Empire Telecommunication and Energy Consultants, LLC  
Business Address (include city, state, zip): 22 Clubhouse Ct., Saratoga Springs, NY 12866-7339 US  
Telephone Number: (518) 221-8139 E-mail: justin@empireTEC.net  
Contact Person: Justin Baker  
Contact Person Address (if different): \_\_\_\_\_

Part II – Check ONE of the following items in Part II. If more than one item is checked, the form will be rejected. (PLEASE NOTE: Most small businesses registering in New Hampshire qualify for the exemption in Part II, Item 1 below. However, you must insure that your business meets all of the requirements spelled out in A), B), and C))

1. \_\_\_\_\_ Ownership interests in this business are exempt from the registration requirements of the state of New Hampshire because the business meets ALL of the following three requirements:  
A) This business has 10 or fewer owners; and  
B) Advertising relating to the sale of ownership interests has not been circulated; and  
C) Sales of ownership interests – if any – will be completed within 60 days of the formation of this business
2. \_\_\_\_\_ This business will offer securities in New Hampshire under another exemption from registration or will notice file for federal covered securities. Enter the citation for the exemption or notice filing claimed - \_\_\_\_\_
3. \_\_\_\_\_ This business has registered or will register its securities for sale in New Hampshire. Enter the date the registration statement was or will be filed with the Bureau of Securities Regulation - \_\_\_\_\_
4. ☒ This business was formed in a state other than New Hampshire and will not offer or sell securities in New Hampshire.

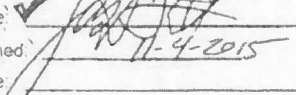
Part III – Check ONE of the following items in Part III:

1. ☒ This business is not being formed in New Hampshire.
2. \_\_\_\_\_ This business is being formed in New Hampshire and the registration document states that any sale or offer for sale of ownership interests in the business will comply with the requirements of the New Hampshire Uniform Securities Act.

Part IV – Certification of Accuracy

(NOTE: The information in Part IV must be certified by: 1) all of the incorporators of a corporation to be formed; or 2) an executive officer of an existing corporation; or 3) all of the general partners or intended general partners of a limited partnership; or 4) one or more authorized members or managers of a limited liability company; or 5) one or more authorized partners of a registered limited liability partnership or foreign registered limited liability partnership.)

I (We) certify that the information provided in this form is true and complete. (Original signatures only)

Name (print):	<u>Justin Baker</u>	Signature: <input checked="" type="checkbox"/> 
		Date signed: <u>11-4-2015</u>
Name (print):	_____	Signature: _____
		Date signed: _____
Name (print):	_____	Signature: _____
		Date signed: _____